

APR 06 2004

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PTO/SB/21 (6-99)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|   |                        |                   |
|---|------------------------|-------------------|
| <b>TRANSMITTAL<br/>FORM</b><br>(to be used for all correspondence after initial filing) | Application Number     | 09/904,877        |
|   | Filing Date            | July 12, 2001     |
|   | First Named Inventor   | Ashkenazi, et al. |
|   | Group/Art Unit         | 1647              |
|   | Examiner Name          | Spector, Lorraine |
| Total Number of Pages in This Submission  | Attorney Docket Number | 39780-1618P2C27   |

## ENCLOSURES (check all that apply)

|   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> <b>FEE TRANSMITTAL FORM</b><br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Version With Markings Showing Changes<br><input type="checkbox"/> Affidavits/declaration(s)<br><input checked="" type="checkbox"/> <b>EXTENSION OF TIME REQUEST (2 MONTHS - \$420.00)</b><br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53<br><input type="checkbox"/> Copy of Notice | <input type="checkbox"/> Copy of an Assignment<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, by Assignee to Exclusion of Inventor Under 37 C.F.R. §3.71 With Revocation of Prior Powers<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> Request for Refund | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group ( <i>Appeal Notice, Brief, Reply Brief</i> )<br><input checked="" type="checkbox"/> <b>NOTICE OF APPEAL</b><br><input type="checkbox"/> Additional Enclosure(s) ( <i>please identify below</i> ):<br><input checked="" type="checkbox"/> <b>STAMPED RETURN POSTCARD</b> |
| Remarks<br><b>AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT <u>08-1641</u> FOR ANY FEES DUE IN CONNECTION WITH THIS PAPER, REFERENCING ATTORNEY'S DOCKET NO. 39780-1618 P2C27.</b>  |   |  |

## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

|                         |  |   |
|-------------------------|--|---|
| Firm or Individual name | HELLER EHRMAN WHITE & McAULIFFE LLP                | DAAPHNE REDDY (Reg. No. 53,507)                     |
|                         | 275 Middlefield Road, Menlo Park, California 94025 | Telephone: (650) 324-7000 Facsimile: (650) 324-0638 |
| Signature               | Daphne Reddy                                       |   |
| Date                    | April 6, 2004                                      | Customer Number: 35489                              |

## CERTIFICATE OF EXPRESS MAILING

|   |               |      |               |
|---|---------------|------|---------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: <b>MAIL STOP AF, Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450, on this date: April 5, 2004</b> |               |      |               |
| Express Mail Label <b>EL 977 677 778 US</b>   |               |      |               |
| Typed or printed name   | Cheryl Rogers |      |               |
| Signature   | Cheryl Rogers | Date | April 6, 2004 |

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**FEE TRANSMITTAL  
for FY 2004**

Effective 01/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 750.00**Complete if Known**

|                      |                   |
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| Application Number   | 09/904,877        |
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| Art Unit             | 1647              |
| Attorney Docket No.  | 39780-1618P2C27   |

**METHOD OF PAYMENT (check one)**☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number **08-1641 (Docket No. 39780-1618P2C27)**Deposit Account Name **Heller Ehrman White & McAuliffe LLP****The Commissioner is authorized to: (check all that apply)**
☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.
**FEE CALCULATION****1. BASIC FILING FEE**

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 1001           | 770             | 2001           | 385             | Utility filing fee     |          |
| 1002           | 340             | 2002           | 170             | Design filing fee      |          |
| 1003           | 530             | 2003           | 265             | Plant filing fee       |          |
| 1004           | 770             | 2004           | 385             | Reissue filing fee     |          |
| 1005           | 160             | 2005           | 80              | Provisional filing fee |          |

**SUBTOTAL (1)** (\$) **2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent Claims | -20** =      | x              | =        |
| Multiple Dependent | -3** =       | x              | =        |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description   |
|----------------|-----------------|----------------|-----------------|---|
| 1202           | 18              | 2202           | 9               | Claims in excess of 20                                    |
| 1201           | 86              | 2201           | 43              | Independent claims in excess of 3                         |
| 1203           | 290             | 2203           | 145             | Multiple dependent claim, if not paid                     |
| 1204           | 86              | 2204           | 43              | **Reissue independent claims over original patent         |
| 1205           | 18              | 2205           | 9               | **Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2)** (\$) 

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|
| 1051           | 130             | 2051           | 65              | Surcharge - late filing fee or oath  |          |
| 1052           | 50              | 2052           | 25              | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053           | 130             | 1053           | 130             | Non-English specification  |          |
| 1812           | 2,520           | 1812           | 2,520           | For filing a request for <i>ex parte</i> reexamination                     |          |
| 1804           | 920*            | 1804           | 920*            | Requesting publication of SIR prior to Examiner action                     |          |
| 1805           | 1,840*          | 1805           | 1,840*          | Requesting publication of SIR after Examiner action                        |          |
| 1251           | 110             | 2251           | 55              | Extension for reply within first month                                     |          |
| 1252           | 420             | 2252           | 210             | Extension for reply within second month (difference)                       | 310.00   |
| 1253           | 950             | 2253           | 475             | Extension for reply within third month                                     |          |
| 1254           | 1,480           | 2254           | 740             | Extension for reply within fourth month                                    |          |
| 1255           | 2,010           | 2255           | 1,005           | Extension for reply within fifth month                                     |          |
| 1401           | 330             | 2401           | 165             | Notice of Appeal   | 330.00   |
| 1402           | 330             | 2402           | 165             | Filing a brief in support of an appeal                                     |          |
| 1403           | 290             | 2403           | 145             | Request for oral hearing   |          |
| 1451           | 1,510           | 1451           | 1,510           | Petition to institute a public use proceeding                              |          |
| 1452           | 110             | 2452           | 55              | Petition to revive - unavoidable   |          |
| 1453           | 1,330           | 2453           | 665             | Petition to revive - unintentional   |          |
| 1501           | 1,330           | 2501           | 665             | Utility issue fee (or reissue)   |          |
| 1502           | 480             | 2502           | 240             | Design issue fee   |          |
| 1503           | 640             | 2503           | 320             | Plant issue fee  |          |
| 1460           | 130             | 1460           | 130             | Petitions to the Commissioner  |          |
| 1807           | 50              | 1807           | 50              | Processing fee under 37 CFR 1.17(q)  |          |
| 1806           | 180             | 1806           | 180             | Submission of Information Disclosure Stmt                                  |          |
| 8021           | 40              | 8021           | 40              | Recording each patent assignment per property (times number of properties) |          |
| 1809           | 770             | 2809           | 385             | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810           | 770             | 2810           | 385             | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801           | 770             | 2801           | 385             | Request for Continued Examination (RCE)                                    |          |
| 1802           | 900             | 1802           | 900             | Request for expedited examination of a design application                  |          |

Other fee (specify) \* Reduced by Basic Filing Fee Paid **SUBTOTAL (3)** **\$640.00****SUBMITTED BY**

|                   |                     |                                   |               |              |              |
|-------------------|---------------------|-----------------------------------|---------------|--------------|--------------|
| Name (Print/Type) | Daphne Reddy        | Registration No. (Attorney/Agent) | 53,507        | Telephone    | 650-324-7000 |
| Signature         | <i>Daphne Reddy</i> | Date                              | April 6, 2004 | Customer No. | 35489        |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop , Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.